

Catalogue of Major Existing Laws and Regulations in Effect on Foreign Exchange Administration

(as of December 31,2014)¹

I. General (29 Items)

1- Basic Rules

1. Regulations of the People's Republic of China on Foreign Exchange Administration (Decree No. 532 of the State Council)
2. Interim Measures for the Administration of Collection and Payment of Foreign Currency in Cash by Domestic Institutions (Huiguanhanzi No. 211 [1996])
3. Interim Regulations on the Administration of Domestic Foreign Exchange Transfers (Huiguanhanzi No. 250 [1997])
4. Measures for the Administration of Individual Foreign Exchange (Decree No. 3 [2006] of the People's Bank of China)
5. Detailed Rules on the Implementation of Measures for the Administration of Individual Foreign Exchange Rules (Huifa No. 1 [2007])
6. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Measures for Foreign Exchange Administration in Areas under Customs' Special Supervision (Huifa No. 15 [2013])
7. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Strengthening Administration of Inflows of Foreign Exchange Funds (Huifa No. 20 [2013])
8. Circular of the State Administration of Foreign Exchange Concerning the Printing and Distribution of the Regulations on the Centralized Operation and Management of Foreign Exchange Funds of Transnational Companies (For Trial Implementation) (Huifa No. 23 [2014])

2- Account Administration

1. Regulations for the Administration of Domestic Foreign Exchange Accounts (Yinfa No. 416 [1997])
2. Regulations on the Administration of Overseas Foreign Exchange Accounts (Huizhengfazi No. 10 [1997])

¹ The Catalogue incorporates a total of 259 foreign exchange administration regulations.

3. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Administration of the Foreign Exchange Account under the Current Account of Foreign Embassies and Consulates Stationed in China (Huizongfa No. 114 [2007])
4. Reply of the State Administration of Foreign Exchange on Relevant Issues regarding Foreign Exchange Administration Policies for the Business of Corporate Foreign Exchange Accounts (Huifu No. 398 [2007])
5. Reply of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning the Foreign Exchange Business of Foreign Diplomatic Institutions in China (Huizongfa No. 53 [2008])
6. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Management of Domestic Foreign Exchange Accounts of Overseas Institutions (Huifa No. 29 [2009])

3- Administrative Licensing

1. Announcement of the State Administration of Foreign Exchange on Relevant Issues Concerning Revocation of 26 Administrative Examination and Approval Items (Announcement No. 1 [2003] of the State Administration of Foreign Exchange)
2. Announcement of the State Administration of Foreign Exchange on Relevant Issues Concerning Revocation of 8 Administrative Examination and Approval Items Announcement (No. 1 [2004] of the State Administration of Foreign Exchange)
3. Circular of the State Administration of Foreign Exchange on Relevant Procedural Issues Concerning Implementation of Administrative Licensing for Foreign Exchange Administration (Huifa No. 68 [2004])
4. Announcement No. 5 [2006] of the People's Bank of China on the Adjustment of Some Foreign Exchange Administration Policies
5. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Forwarding the Decision of the State Council on the Fourth Batch of Revocations and Adjustments of Administrative Approval Items (Huizongfa No. 189 [2007])
6. Administrative Licensing Procedures of the State Administration of Foreign Exchange (Huizongfa No. 191 [2008])
7. Decision of the State Council on the Fifth Batch of Revocations and Delegations of Administrative Authority for Administrative Examination and Approval Items (Guofa No. 21 [2010])

8. List of the Administrative Licensing Items of the State Administration of Foreign Exchange (Huifa No. 43 [2010])

9. Decision of the State Council on the Sixth Batch of Revocations and Adjustment of Administrative Examination and Approval Items (Guofa No. 52 [2012])

10. Decision of the State Council on Revocations and Delegations of a Batch of Administrative Examination and Approval Items (Guofa No. 5 [2014])

4- Others

1. System of the State Administration of Foreign Exchange on the Receipt of Letters and Visits (Huifa No. 346 [1999])

2. Guiding Opinions on Regulating the Administration of Important Vouchers, Examination and Approval, and Archives Management of the Foreign Exchange Business (Huifa No. 1 [2004])

3. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Guide of the State Administration of Foreign Exchange for the Disclosure of Government Information, the Catalogue of the State Administration of Foreign Exchange for the Disclosure of Government Information, and the Working Procedures of the State Administration of Foreign Exchange for the Disclosure of Government Information Upon Application (Huifa No. 12 [2008])

4. Regulations of the State Administration of Foreign Exchange for the Administration of Legal Consulting Work (Huizongfa No. 106 [2009])

5. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Foreign Exchange Business Concerning Cross-border Trade of Environmental Interests, Including CO2 Emission Reductions (Huizongfa No. 151 [2010])

II. Foreign Exchange Administration under the Current Account (26 Items)

1- Comprehensive Business under the Current Account

1. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Administration of Sales Agents for Foreign Currency Travelers' Cheque (Huifa No. 15 [2004])

2. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of Duty-free Commodities (Huifa No. 16 [2006])

3. Circular of the State Administration of Foreign Exchange on Adjustments of Foreign Exchange Administration Policies for the Current Account (Huifa No. 19 [2006])
4. Operational Procedures for the Foreign Exchange Accounts under the Current Account and Individual Foreign Exchange Purchases by Domestic Residents (Huizongfa No. 32 [2006])
5. Circular of the State Administration of Foreign Exchange on Retaining Foreign Exchange Income under the Current Account by Domestic Institutions at their own Discretion (Huifa No. 49 [2007])
6. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Improving Foreign Exchange Administration under the Current Account in Areas under Customs' Special Supervision (Huifa No. 22 [2013])

2- Foreign Exchange Administration of Trade in Goods

1. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning the Handling of the Collection and Payment of Foreign Exchange for Imports and Exports of Gold by Commercial Banks (Huizongfa No. 85 [2012])
2. The Announcement of the State Administration of Foreign Exchange, the General Administration of Customs and the State Administration of Taxation Concerning Reform on the Foreign Exchange Administration System for Trade in Goods (Announcement No. 1 [2012] of the SAFE)
3. Circular of the State Administration of Foreign Exchange Concerning Printing and Distributing the Provisions for Foreign Exchange Administration of Trade in Goods (Huifa No. 38 [2012])
4. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues for Doing a Good Job in the Foreign Exchange Administration of Trade in Goods under Emergency Situations (Huizongfa No. 123 [2012])
5. Announcement No. 52 [2013] of the General Administration of Customs of the People's Republic of China and the State Administration of Foreign Exchange
6. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Improving the Foreign Exchange Administration on the Banks' Trade Financing Business (Huifa No. 44 [2013])

3- Border Trade

1. Circular of the State Administration of Foreign Exchange on Relevant Issues

Concerning Foreign Exchange Administration of Trade in Border Areas (Huifa No. 12 [2014])

4- Foreign Exchange Administration of Trade in Services

1. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of Donations by Domestic Institutions (Huifa No. 63 [2009])

2. Circular of State Administration of Foreign Exchange on Printing and Distributing of the Regulations for Foreign Exchange Administration on Trade in Services (Huifa No. 30 [2013])

3. Announcement of the State Administration of Taxation and State Administration of Foreign Exchange on Issues Concerning the Tax Record-filing for External Payments under Service Trade and Other Items (Announcement No. 40 [2013] of the State Administration of Taxation and the State Administration of Foreign Exchange)

5- Foreign Exchange Administration of Individuals under the Current Account

1. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Regulating Operations of Foreign Exchange Settlements and Sales for Individuals by Banks (Huizongfa No. 90 [2007])

2. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Administration of Foreign Exchange Purchases by Overseas Individuals (Huizongfa No. 159 [2008])

3. Circular of the State Administration of Foreign Exchange on Further Perfecting Administration of the Foreign Exchange Settlements and Sales for Individuals (Huifa No. 56 [2009])

4. Emergency Plan for the Management Information System for the Foreign Exchange Settlement and Sales for Individuals (Huizongfa No. 49 [2008])

5. Interim Measures for the Administration of E-banking-based Foreign Exchange Settlement and Sales for Individuals (Huifa No. 10 [2011])

6 Circular of the State Administration of Foreign Exchange on Trial Implementation of the “Watch List” Management of Individual Splitting Foreign Exchange Settlement and Sales by Banks with E-Channeled-based Individual Foreign Exchange Settlement and Sales (Huifa No. 41 [2011])

7. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Regulating the Review of Access to Individual FX Settlements and Sales by E-banking (Huizongfa No. 77 [2013])

6- Administration of Foreign Currency Cash and Denominations of Foreign Currency

1. Interim Measures for Administration of Carrying Foreign Currency Cash into and out of China (Huifa No. 102 [2003])
2. Operational Rules for Administration of Carrying Foreign Currency Cash into and out of China (Huifa No. 21 [2004])
3. Circular of the State Administration of Foreign Exchange and the General Administration of Customs on Printing and Distributing the Administrative Regulations on the Allocation and Transportation of Foreign Currency Cash into and out of China by Banks (Huifa No. 24 [2014])

III. Foreign Exchange Administration under the Capital Account (87 Items)

1- Comprehensive Issues under the Capital Account

1. Circular of the State Administration of Foreign Exchange on Transitional Policies and Measures after Revocation of Certain Administrative Examinations and Approvals of Foreign Exchange Administration under the Capital Account (Huifa No. 50 [2003])
2. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Delegation of Certain Approval Authorities for Foreign Exchange Businesses under the Capital Account (Huifa No. 63 [2005])
3. Circular of the State Administration of Foreign Exchange on Adjustments to Certain Approval Authorities for Foreign Exchange Businesses under the Capital Account (Huifa No. 21 [2009])
4. Circular of the State Administration of Foreign Exchange on Adjustments to Certain Approval Authorities for Foreign Exchange Businesses under the Capital Account (Huifa No. 29 [2010])
5. Circular of the Ministry of Housing and Urban-Rural Development and the State Administration of Foreign Exchange on Further Regulating Administration of Housing Purchases by Overseas Institutions and Individuals (Jianfang No. 186 [2010])
6. Circular of the State Administration of Foreign Exchange on Cancellation and Adjustments to the Approval Authorities and the Administrative Measures for Certain Foreign Exchange Businesses Under the Capital Account (Huifa No. 20 [2011])
7. Circular of the State Administration of Foreign Exchange on Issues Concerning Further Clarifying and Regulating the Foreign Exchange Administration under Some Capital Accounts (Huifa No. 45 [2011])

8. Circular of the State Administration of Foreign Exchange on Foreign Exchange Administration Issues Involved in Encouraging and Guiding the Healthy Development of Private Investment (Huifa No. 33 [2013])

9. Circular of the State Administration of Foreign Exchange on Promotion of the Capital Account Information System (Huifa No. 17 [2013])

10. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Distributing the Operating Guideline on the Foreign Exchange Business under the Capital Account (2013 version) (Huizongfa No. 80 [2013])

11. Circular of the State Administration of Foreign Exchange on Further Improving and Adjusting the Policies for Foreign Exchange Administration under the Capital Account (Huifa No. 2 [2014])

12. Circular of the State Administration of Foreign Exchange on the Specifications for Account Data Interface of Finance Companies (Huifa No. 55 [2012])

2- Foreign Exchange Administration of Foreign Direct Investments

(1) Basic Rules

1. Interim Provisions on Reorganization of State-owned Enterprises by Utilizing Foreign Investment (Decree No. 42 [2002] of the State Economic and Trade Commission, the Ministry of Finance, the State Administration for Industry and Commerce, and the State Administration of Foreign Exchange)

2. Provisions on the Administration of Foreign-Invested Venture Investment Enterprises (Decree No. 2 [2003] of the Ministry of Foreign Trade and Economic Cooperation, the Ministry of Science and Technology, the State Administration for Industry and Commerce, the State Administration of Taxation, and the State Administration of Foreign Exchange)

3. Measures on Administration of Strategic Investments in Listed Companies by Foreign Investors (Decree No. 28 [2005] of the Ministry of Commerce of the PRC, the China Securities Regulatory Commission, the State Administration of Taxation, the State Administration for Industry and Commerce, and the State Administration of Foreign Exchange)

4. Provisions on Mergers and Acquisitions of Domestic Enterprises by Foreign Investors (Decree No. 10 [2006] of the Ministry of Commerce, the State-owned Assets Supervision and Administration Commission of the State Council, the State Administration of Taxation, the State Administration for Industry and Commerce, the China Securities Regulatory Commission, and the State Administration of Foreign Exchange)

5. Circular of the State Administration of Foreign Exchange on Further Improving and

Adjusting the Policies for Foreign Exchange Administration of Direct Investments (Huifa No. 59 [2012])

6. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Provisions on the Foreign Exchange Administration of Domestic Direct Investment from Foreign Investors and the Supporting Documents (Huifa No. 21 [2013])

(2) Capital Administration

1. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Business Operational Issues Concerning Improving Administration for the Payment and Settlement of the Foreign Exchange Capital of Foreign-Invested Enterprises (Huizongfa No. 142 [2008])

2. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Business Operational Issues Concerning Improving Administration for the Payment and Settlement of the Foreign Exchange Capital of Foreign-Invested Enterprises (Huizongfa No. 88 [2011])

3. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Reform Pilot on Management Mode for Settlement of Foreign Exchange Capital of Foreign-invested Enterprises in Some Regions (Huifa No. 36 [2014])

4. Circular of the Ministry of Commerce and the State Administration of Foreign Exchange Concerning the Improvement of Filing Work for Foreign Investment in Real Estate (Shangzihan No. 340 [2014])

(3) Registration

1. Circular of the Ministry of Finance and the State Administration of Foreign Exchange on Further Strengthening Capital Verifications for Foreign-Invested Enterprises and Perfecting the Foreign Exchange Registration System for Foreign Investment (Caikuai No. 1017 [2002])

2. Opinions of the Ministry of Construction, the Ministry of Commerce, the National Development and Reform Commission, the People's Bank of China, the State Administration for Industry and Commerce, and the State Administration of Foreign Exchange on Regulating Access and Administration of Foreign Investment in the Real Estate Market (Jianzhufang No. 171 [2006])

(4) Annual Inspections

1. Circular of the Ministry of Finance and the State Administration of Foreign Exchange on Strengthening Auditing of the Foreign Exchange of Foreign-Invested Enterprises (Caiwaizi No. 607 [1998])

2. Circular of the Ministry of Foreign Trade and Economic Cooperation, the State Economic and Trade Commission, the Ministry of Finance, the General Administration of Customs, the State Taxation Administration, the State Administration for Industry and Commerce, and the State Administration of Foreign Exchange on Implementing a Program for Joint Annual Inspections of Foreign-Invested Enterprises (Waijingmaozifa No. 938 [1998])

3. Circular of the State Administration of Foreign Exchange and the Ministry of Finance on Adjusting the Table of Foreign Exchange Issues Under the Annual Inspection of Foreign Exchange Businesses of Foreign-Invested Enterprises (Huifa No. 124 [2002])

4. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Issues Concerning Annual Declaration Work on Foreign Exchange Operation Status by Foreign-invested Enterprises in 2014 (Huizongfa No. 58 [2014])

(5) Others

1. Circular of the State Administration of Foreign Exchange and the Ministry of Construction on Issues Related to Regulating Foreign Exchange Administration of the Real Estate Market (Huifa No. 47 [2006])

3- Foreign Exchange Administration of Overseas Investments

1. Circular of the State Administration of Foreign Exchange on Issues Concerning Foreign Exchange Administration of Overseas Lending Granted by Domestic Enterprises (Huifa No. 24 [2009])

2. Regulations for Foreign Exchange Administration of Overseas Direct Investments by Domestic Institutions (Huifa No. 30 [2009])

3. Circular of the Ministry of Commerce and the State Administration of Foreign Exchange on Issues concerning the Joint Annual Inspection of Overseas Investment (Shanghehan No. 60 [2009])

4. Circular of the State Administration of Foreign Exchange on Issues Concerning Foreign Exchange Administration of Overseas Direct Investments by Domestic Banks (Huifa No. 31 [2010])

5. Circular of the State Administration of Foreign Exchange on Issues Related to Foreign Exchange Administration in Terms of Overseas Investment and Financing via Special Purpose Companies and Return Investment by Domestic Residents (Huifa No. 37 [2014])

4- Administration of Overseas Financing and Negotiable Securities

(1) Overseas Issuance of Bonds and Listings

1. Circular of the General Office of the State Council Forwarding the Opinion of the State Development Planning Commission and the People's Bank of China on Further Strengthening Administration of External Bond Issuances (Guobanfa No. 23 [2000])
2. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of Overseas Listings (Huifa No. 54 [2014])

(2) Hedging

1. Measures for Administration of the Overseas Futures Hedging Business of State-owned Enterprises (Zhengjianfa No. 81 [2001])
2. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of Overseas Futures Hedging Business of State-Owned Enterprises (Huifa No. 25 [2013])

(3) Others

1. Circular of the State Administration of Foreign Exchange on Adjusting the Authority for Examining and Approving the Opening of Dedicated Foreign Exchange Accounts and the Settlement of Foreign Exchange Proceeds of Share Offerings for Domestic Issuances of B-shares and Overseas Listed Shares (Huifa No. 380 [1999])
2. Circular of General Office of PBOC on Relevant Issues Concerning the Decrease in Stakes by Foreign Stockholders of A-share Listed Companies, the Opening of Dividend-related Accounts, and Foreign Exchange Administration (Yinbanfa No. 178 [2009])
3. Reply of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Administration of the Reductions of A-Share Funds by L üting (Hong Kong) Co., Ltd. (Huizongfu No. 58 [2010])
4. Reply of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Purchase of Foreign Exchange for Investment in B Shares by Domestic Individuals (Huizongfu No. 148 [2011])
5. Reply of the State Administration of Foreign Exchange on Foreign Exchange Administration Issues such as Foreign Exchange Purchase Quota for Repurchase of B Shares by Chongqing Chang'an Automobile Co, Ltd. (Huifu No. 21 [2012])

5- Foreign Exchange Administration of Investments in the Securities Market

(1) Foreign Exchange Administration of Investments in the Domestic Securities Market

1. Measures for Administration of Domestic Securities Investments by Qualified Foreign Institutional Investors (Decree No. 36 [2006] of the China Securities Regulatory Commission, the People's Bank of China, and the State Administration of Foreign Exchange)
2. Provisions on Foreign Exchange Administration of Securities Investments in China by Qualified Foreign Institutional Investors (Announcement No. 1 [2009] of SAFE, amended based on the Announcement No. 2 [2012] of SAFE)
3. Interim Measures for Administration of RMB Bond Issuances by International Development Institutions (Announcement No. 10 [2010] of the People's Bank of China, the Ministry of Finance, the National Development and Reform Commission, and the China Securities Regulatory Commission)
4. Measures for the Pilot Program of Securities Investment in China by RMB Qualified Foreign Institutional Investors (Decree No. 90 [2013] of the China Securities Regulatory Commission, the People's Bank of China, and the State Administration of Foreign Exchange)
5. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Pilot Program of Domestic Securities Investments by RMB Qualified Foreign Institutional Investors (Huifa No. 9 [2013])

(2) Foreign Exchange Administration of Investments in Overseas Securities Markets

1. Interim Administrative Measures for the Overseas Wealth Management Business Conducted by Commercial Banks On Behalf of Clients (Yinfa No.121 [2006])
2. Interim Measures for the Administration of Overseas Investments of Insurance Funds (Decree No. 2 [2007] of the China Insurance Regulatory Commission, the People's Bank of China, and the State Administration of Foreign Exchange)
3. Interim Measures for the Administration of the Entrusted Overseas Wealth Management Business of Trust Enterprises (Yinjianfa No. 27 [2007])
4. Provisions for the Administration of Foreign Exchange in Overseas Securities Investments by Qualified Domestic Institutional Investors Announcement No. 1 [2013] of the State Administration of Foreign Exchange

6- Administration of External Debt and External Guarantees

(1) Basic Rules

1. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Administrative Provisions on the Foreign Exchange Business of Banks and other relevant regulations (Annex: Measures for the Administration of Borrowing of

International Commercial Loans by Domestic Institutions) (Huizhengfazi No. 06 [1997])

2. Interim Measures for the Administration of the External Debt (Decree No. 28 [2003] of the State Development Planning Commission, the Ministry of Finance, and the State Administration of Foreign Exchange)

3. Measures for Management of the External Debt of Domestic Foreign-funded Banks (Decree No. 9 [2004] of the National Development and Reform Commission, the People's Bank of China, and the China Banking Regulatory Commission)

4. Interim Measures for Administration of the Issuance of RMB Bonds in the Hong Kong Special Administrative Region by Domestic Financial Institutions, (Announcement No. 12 [2007] of the People's Bank of China and the National Development and Reform Commission)

(2) Statistics and Administration of External Debts

1. Interim Provisions for the Monitoring of External Debt Statistics

2. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Administrative Provisions on the Foreign Exchange Business of Banks and other relevant regulations (Annex: Detailed Rules for Implementation of the Monitoring of External Debt Statistics) (Huizhengfazi No. 06 [1997])

3. Circular of the State Development Planning Commission, the People's Bank of China, and the State Administration of Foreign Exchange on Implementing Administration of the Outstanding Mid-term and Long-term External Debt for State-owned Commercial Banks (Jiwaizi No. 53 [2000])

4. Circular of the State Administration of Foreign Exchange on Adjustments to the External Debt Specifications for China and Relevant Issues (Huifa No. 174 [2001])

5. Circular of the State Administration of Foreign Exchange on Distributing Issues Concerning the 2010 Quotas for the Outstanding Short-term External Debt (Huifa No. 18 [2010])

6. Circular of the State Administration of Foreign Exchange on Issues Concerning the Ratification of the Quotas for Outstanding Short-term External Debts of Domestic Institutions in 2011 (Huifa No. 14 [2011])

7. Circular of the State Administration of Foreign Exchange on Issues Concerning the Ratification of the Quotas for Outstanding Short-term External Debts of Domestic Institutions in 2012 (Huifa No. 12 [2012])

8. Circular of the State Administration of Foreign Exchange on Issues Concerning the Ratification of the Quotas for Outstanding Short-term External Debts of Domestic

Institutions in 2013 (Huifa No. 6 [2013])

9. Circular of the State Administration of Foreign Exchange on Promulgating the Measures for Administration of External Debt Registration (Huifa No. 19 [2013])

10. Reply of the General Affairs Department of the State Administration of Foreign Exchange on Issues Concerning External Claims Registration for Financing Lease Companies (Huizongfu No. 142 [2013])

11. Circular of the State Administration of Foreign Exchange on Issues Concerning the Ratification of the Quotas for Outstanding Short-term External Debts of Domestic Institutions in 2014 (Huifa No. 14 [2014])

(3) Guarantees

1. Circular of the State Administration of Foreign Exchange on Promulgating the Measures for Foreign Exchange Administration on Cross-border Guarantee (Huifa No. 29 [2014])

2. Announcement No. 13 [2014] of the People's Bank of China

(4) Trade Credits

1. Circular of the People's Bank of China on Issues Concerning Commercial Banks in Conducting the Business of Letters of Credit and Letters of Guarantees (Yinfa No. 124 [2002])

(5) Foreign Exchange Loans

1. Circular of the State Administration of Foreign Exchange on Implementing the Reform of the Mode for Foreign Exchange Administration of Domestic Foreign Exchange Loans (Huifa No. 125 [2002])

2. Provisions on the Collective Operations and Administration of Foreign Exchange Funds of Internal Members of Domestic Enterprises (Huifa No. 49 [2009])

3. Circular of the State Administration of Foreign Exchange on Policy-related Issues Concerning Pledging Foreign Exchange for RMB Loans by Domestic Enterprises (Huifa No. 46 [2011])

4. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Measures for Foreign Exchange Administration of External Debt On-lending (Huifa No. 5 [2014])

7- Foreign Exchange Administration of Personal Capital Accounts

(1) Asset Transfers

1. Interim Measures for Administration of the Sales and Payments of Foreign Exchange in Terms of External Transfers of Personal Property (Announcement No. 16 [2004] of the People's Bank of China)
2. Operational Guidelines for the Interim Measures for the Administration of the Sales and Payments of Foreign Exchange in Terms of External Transfers of Personal Property (Trial) (Huifa No. 118 [2004])
3. Circular of the State Administration of Foreign Exchange, the Ministry of Foreign Affairs, the Ministry of Public Security, the Ministry of Supervision, and the Ministry of Justice on Issues Concerning Implementation of the Interim Measures for the Administration of the Sales and Payments of Foreign Exchange in Terms of External Transfers of Personal Property (Huifa No. 9 [2005])
4. Circular of the State Administration of Taxation and the State Administration of Foreign Exchange on Relevant Issues Concerning Submission of Taxation Certificates or Tax Payment Vouchers for Outward Transfers of Personal Properties (Guoshuifa No. 13 [2005])

(2) Portfolio Investments

1. Circular of the State Administration of Foreign Exchange and the China Securities Regulatory Commission on Issues Concerning Domestic Securities Trading Institutions Engaging in B-share Trade (Huiguanhanzi No. 140 [1995])
2. Circular of the China Securities Regulatory Commission and the State Administration of Foreign Exchange on Several Issues Concerning Domestic Individual Residents' Investments in Foreign-funded Shares Listed in China (Zhengjianfa No. 22 [2001])
3. Circular of the State Administration of Foreign Exchange on Issues Concerning Implementing the Circular on Several Issues Concerning Domestic Individual Residents' Investments in Foreign-funded Shares Listed in China (Huifa No. 26 [2001])
4. Supplementary Circular of the State Administration of Foreign Exchange on Issues Concerning Domestic Individual Residents' Investments in Foreign-funded Shares Listed in China (Huifa No. 31 [2001])
5. Supplementary Circular of the State Administration of Foreign Exchange on Issues Concerning Implementing the Circular on Several Issues Concerning Domestic Individual Residents' Investments in Foreign-funded Shares Listed in China (Huifa No. 32 [2001])
6. Supplementary Circular of the State Administration of Foreign Exchange on Issues Concerning Domestic Residents' Investments in the B-Share Market with their Personal

Foreign Exchange Deposits (Huifa No. 33 [2001])

7. Reply of the State Administration of Foreign Exchange on Issues Concerning Foreign Exchange Settlement of Income from B-Share Investments by Domestic Individual Investors (Huifu No. 283 [2007])

8. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration over Involvement of Domestic Individuals in Equity Incentive Plans of Overseas Listed Companies (Huifa No. 7 [2012])

(3) RMB Loans with Pledged Foreign Exchange

1. Circular of the State Administration of Foreign Exchange on Policy-related Issues Concerning the Mortgaging of Foreign Exchange for RMB Loans by Domestic Individual Residents (Huifa No. 2 [2003])

IV. Supervision of the Foreign Exchange Business of Financial Institutions (52 items)

1- Basic Rules

1. Circular of the State Administration of Foreign Exchange on Distributing the Supplementary Provisions on the Administrative Provisions on Banks' Foreign Exchange Business and the Administrative Provisions on the Foreign Exchange Business of Non-bank Financial Institutions (Annex: Relevant Regulations on the Administration of the Foreign Exchange Business of Non-bank Financial Institutions) (Huiyehanzi No. 83 [1993])

2. Definition of the Scope of Foreign Exchange Business of Non-Bank Financial Institutions (Huiguanhanzi No. 142 [1996])

3. Measures for Assessment of Banks' Performance in Implementing the Provisions for Foreign Exchange Administration (Huifa No. 38 [2010])

4. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Printing and Distributing the Contents and Scoring Criteria for the Assessment of Banks' Performance in Implementing the Provisions on Foreign Exchange Administration (2014) (Huizongfa No. 52 [2014])

5. Circular on Standardizing Inter-bank Business of Financial Institutions (Yinfa No. 127 [2014])

6. Circular of the State Administration of Foreign Exchange on Adjusting the Assessment Cycle of the Banks' Performance In Implementing the Provisions for Foreign Exchange Administration (Huifa No. 42 [2014])

2- Settlement and Sales of Foreign Exchange of Banks

(1) Settlement and Sales of Foreign Exchange of Banks

1. Detailed Rules for Implementation of the Settlement, Sales and Payments of Foreign Exchange by Foreign-funded Banks (Yinfa No. 202 [1996])
2. Regulations Concerning the Accounting Treatment for the Detailed Rules for Implementation of Settlement, Sales, and Payments of Foreign Exchange by Foreign-funded Banks
3. Circular of the People's Bank of China on Relevant Issues Concerning Foreign-funded Banks in Opening Special RMB Currency Accounts for Settlement and Sales of Foreign Exchange (Yinfa No. 180 [2003])
4. Circular of the People's Bank of China on Relevant Issues Concerning the Special RMB Account for Settlement and Sales of Foreign Exchange (Yinfa No. 292 [2005])
5. Reply of the State Administration of Foreign Exchange on Foreign Exchange Administration of Overseas Gold Lending/Borrowing and the Derivatives Transaction Business (Huifu No. 253 [2005])
6. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of the Exchange Rate Exposure of the Noble Metal Business Conducted by the Relevant Banks (Huifa No. 8 [2012])
7. Administrative Measures for Banks in Conducting Foreign Exchange Settlement and Sale Business (Decree No. 2 [2014] of the People's Bank of China)
8. Circular of the State Administration of Foreign Exchange on Printing and Distributing Detailed Rules for the Implementation of the Administrative Measures for Foreign Exchange Settlement and Sales by Banks (Huifa No. 53 [2014])

(2) Position Management of the Settlement and Sales of Foreign Exchange of Banks

1. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Adjusting the Statistical Statements for Synthetic Position of the Banks' Foreign Exchange Settlement and Sales and Submission Methods (Huizongfa No. 129 [2012])

(3) Statements of Settlement and Sales of Foreign Exchange of Banks

1. Statistical System for the Banks' Settlement and Sales of Foreign Exchange (Huifa No. 42 [2006])
2. Circular of the State Administration of Foreign Exchange on Relevant Issues

Concerning Further Regulating Statistical Administration of the Banks' Settlement and Sales of Foreign Exchange (Huifa No. 54 [2008])

3. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues concerning Including RMB Purchase and Sales in the Foreign Exchange Settlement and Sales Statistics (Huizongfa No. 99 [2010])

4. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Adjusting the Statistical Statements of Banks' Foreign Exchange Settlement and Sales and the Submission Methods (Huizongfa No. 152 [2012])

5. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Adjusting the Relevant Indicators on Statistical Statements of Banks' Foreign Exchange Settlement and Sales (Huizongfa No. 65 [2014])

(4) Administration of Products related to Foreign Exchange Settlement and Sales

1. Circular of the People's Bank of China on Relevant Issues Concerning the Handling by Policy Banks of the RMB Loan Business and the Currency Swap Business for Qualified Foreign Institutions (Yinfa No. 81 [2007])

2. Circular of the State Administration of Foreign Exchange on Issues Concerning the Joint Handling of Forward Settlement and Sales of Foreign Exchange (Huifa No. 62 [2010])

3- Offshore Business

1. Measures for the Administration of the Offshore Banking Business (Yinfa No. 438 [1997])

2. Detailed Rules for Implementation of the Measures for Administration of Offshore Banking Businesses (Huiguanfazi No. 09 [1998])

4- Business Related to Bank Cards

1. Circular of the State Administration of Foreign Exchange on Regulating Administration of Foreign Currency Cards of Banks (Huifa No. 53 [2010])

2. Reply of the State Administration of Foreign Exchange on Issues Concerning Relevant Foreign Exchange Business Qualifications of UnionPay International in Undertaking Overseas Business Under UnionPay Cards (Huifu No. 125 [2013])

5- Non-performing Loans

1. Circular of the State Development and Reform Commission and the State Administration of Foreign Exchange on Regulating the Record Filing Administration for

Outward Transfers of Distressed Debts by Domestic Financial Institutions (Fagaiwaizi No. 254 [2007])

6- Other Bank-Related Business

1. Circular of the People's Bank of China on Relevant Issues on Handling Personal RMB Business between Banks in Mainland China and Banks in Hong Kong and Macao (Yinfa No. 254 [2004])

2. Reply of the State Administration of Foreign Exchange on Launching the Transaction of Personal Guarantee Money in Foreign Exchange by the Bank of China Fujian Branch (Huifu No. 95 [2006])

3. Reply of the General Affairs Department of the State Administration of Foreign Exchange on the Domestic Payment Business on Behalf of Clients and Other Issues of the Guangdong Development Bank (Huizongfu No. 17 [2007])

4. Reply of the State Administration of Foreign Exchange to the Request of the Bank of Communications to Launch Account Opening Attestation Agent Services for Overseas Branches (Huifu No. 208 [2010])

5. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Administration of New Taiwan Dollar Exchange (Huifa No. 11 [2013])

7- Insurance Companies

1. Interim Provisions for Foreign Exchange Administration of the Insurance Business (Huifa No. 95 [2002])

2. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Submission of Foreign Exchange Regulatory Statements of the Insurance Business (Huifa No. 27 [2003])

3. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Launching of the Domestic Inter-bank Foreign Exchange Borrowing (Lending) Business by Insurance Companies (Huifa No. 105 [2003])

4. Operational Rules for Foreign Exchange Administration of the Insurance Business (Huifa No. 118 [2003])

5. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Collection and Payment of Foreign Exchange of the Export Credit Insurance Business (Huifa No. 29 [2004])

6. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Simplification of the Examination and Verification Procedures for the

Foreign Exchange Business of Insurance Institutions (Huifa No. 85 [2004])

7. Reply of the State Administration of Foreign Exchange on Implementation of the Operational Measures for the Inter-bank Foreign Exchange Borrowing (Lending) Business Undertaken by Insurance Companies -- Operational Measures for the Inter-bank Foreign Exchange Borrowing (Lending) Business Undertaken by Insurance Companies (Interim) (Huifu No. 93 [2004])

8. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Adjustment of Some Foreign Exchange Administration Policies on Insurance Businesses (Huifa No. 23 [2006])

9. Reply of the State Administration of Foreign Exchange on Relevant Issues Concerning Filing of Export Credit Insurance Contracts (Huifu No. 162 [2010])

10. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Adjusting the Method for Submission of the Foreign Exchange Regulatory Statements of Insurance Businesses (Huizongfa No. 64 [2014])

8- Trust Companies, Financial Asset Companies, and Other Non-bank Financial Institutions

1. Circular of the People's Bank of China on the Scope of the Foreign Exchange Business of Financial Asset Management Companies (Yinfa No. 160 [2000])

2. Supplementary Circular of the China Securities Regulatory Commission and the State Administration of Foreign Exchange on Several Issues Concerning Securities Trading Institutions Engaging in B-Share Business (Zhengjianfa No. 26 [2001])

3. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of Fund Management Companies with Foreign Shares (Huifa No. 44 [2003])

4. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Foreign Exchange Administration of Automotive Financial Companies (Huifa No. 72 [2004])

9- Foreign Currency Exchange Agencies, Franchised Institutions of Domestic and Foreign Currency Exchange for Individuals, and Self-service Exchange Machines

1. Interim Measures on the Administration of Foreign Currency Exchange Agencies (Decree No.6 [2003] of the People's Bank of China)

2. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Improving Foreign Exchange Administration for Foreign Currency Exchange Agencies (Huifa No. 48 [2007])

3. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning Further Improving the Individual Domestic and Foreign Currency Exchange Business (Huifa No. 24 [2008])

4. Reply of the State Administration of Foreign Exchange on Conducting Currency Exchange Businesses in Shenzhen City with Foreign Currency Exchange Machines (Huifu No. 264 [2009])

5. Measures for Administration of the Pilot Program for the Individual Domestic and Foreign Currency Exchange Franchise Businesses (Huifa No. 27 [2012])

6. Reply of the General Affairs Department of the State Administration of Foreign Exchange on Conducting Agency Sales and Reconversion Business of Electronic Traveler's Check by Tianjin Bohai Tonghui Currency Exchange Co., Ltd. (Huizongfu No. 9 [2013])

V. RMB Exchange Rate and Foreign Exchange Market (21 Items)

1- Exchange Rate

1. Announcement No. 16 [2005] of the People's Bank of China Regarding Issues on Improving the Reform of the RMB Exchange Rate Formation Mechanism

2. Announcement No. 1 [2006] of the People's Bank of China on Relevant Issues about Further Perfecting the Interbank Spot Foreign Exchange Market and Improving the Method of Formation of the Middle Price of the RMB Exchange Rate

3. Announcement No. 9 [2007] of the People's Bank of China on Expanding the Floating Range of the Trading Price of the RMB against the US Dollar on the Interbank Spot Foreign Exchange Market

4. Announcement No. 5 [2014] of the People's Bank of China

5. Circular of the People's Bank of China on Relevant Issues Concerning Administration of the Trading Exchange Rate in the Inter-bank Foreign Exchange Market and the Exchange Rates Listed by Banks (Yinfa No. 188 [2014])

2- Foreign Exchange Transaction Market

1. Circular of the State Administration of Foreign Exchange on Strengthening Supervision of the Foreign Exchange Market and Regulating Office Procedures (Huiguohanzi No. 009 [1995])

2. Interim Provisions for the Administration of the Inter-bank Foreign Exchange Market (Yinfa No. 423 [1996])

3. Reply of the State Administration of Foreign Exchange on the Launching of EUR/RMB Trade on the Inter-bank Foreign Exchange Market by the China Foreign Exchange Trade System (Huifu No. 14 [2002])
4. Announcement No. 16 [2003] of the People's Bank of China on Provision of Clearance Arrangements for Relevant Banks that Handle Individual Business of RMB Savings, Currency Exchange, Bank Cards, and Remittances in the Hong Kong SAR
5. Circular of the State Administration of Foreign Exchange on Carrying Out Bilateral Trade on the Inter-bank Foreign Exchange Market (Huifa No. 109 [2003])
6. Circular of the State Administration of Foreign Exchange on Relevant Issues Concerning the Launching of Spot Over-the-Counter Trading on the Inter-bank Foreign Exchange Market (Huifa No. 87 [2005])
7. Detailed Rules for Implementation of the Application for Membership on the Inter-bank Spot Foreign Exchange Market by Non-financial Enterprises and Non-bank Financial Institutions (Interim) (Huifa No. 94 [2005])
8. Circular of the People's Bank of China on Relevant Issues Concerning Accelerating the Development of the Foreign Exchange Market (Yinfa No. 202 [2005])
9. Circular of the State Administration of Foreign Exchange on Unifying the Time for Spot Bid-Offer Trading and Spot Over-the-Counter Trading on the Inter-bank Foreign Exchange Market (Huifa No. 50 [2006])
10. Reply of the State Administration of Foreign Exchange on the Launching of GBP/RMB Trade on the Inter-bank Foreign Exchange Market by the China Foreign Exchange Trade System (Huifu No. 153 [2006])
11. Circular of the People's Bank of China on Relevant Issues Concerning the Launching of the RMB and Foreign Currency Swap Business on the Inter-bank Foreign Exchange Market (Yinfa No. 287 [2007])
12. Interim Measures for the Administration of the Foreign Exchange Brokerage Business for Currency Brokerage Companies (Huifa No. 55 [2008])
13. Circular of the People's Bank of China and the State Administration of Foreign Exchange on Relevant Matters Regarding Suspension of the Foreign Currency Clearance Business (Yinfa No. 137 [2009])
14. Reply of the State Administration of Foreign Exchange on the Launching of RMB-FOREX Options Trading on the Interbank Foreign Exchange Market by the China Foreign Exchange Trade System (Huifu No. 30 [2011])
15. Circular of the State Administration of Foreign Exchange on Amending Guidelines

for Interbank Foreign Exchange Market-Makers (Huifa No. 13 [2013])

16. Circular of the State Administration of Foreign Exchange on Adjusting the Relevant Management Policies Regarding Entry into the Inter-bank Foreign Exchange Market by Financial Institutions (Huifa No. 48 [2014])

VI. Balance of Payments and Foreign Exchange Statistics (26 items)

1- Comprehensive rules and regulations on balance of payments statistics

1. Circular of the State Administration of Foreign Exchange and the General Administration of Quality Supervision, Inspection and Quarantine on the Overall Use of the Organization Identification Code in Foreign Exchange Businesses (Huifa No. 24 [2002])

2. Detailed Rules for Implementation of the Measures for Declaration of Balance-of-Payments Statistics (Huifa No. 21 [2003])

3. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Ceasing Submission of the Statistical Declaration Form for Exchange Business (Huizongfa No. 54 [2010])

4. Circular of the Ministry of Commerce, the National Bureau of Statistics and the State Administration of Foreign Exchange on Printing and Issuing the Statistical System of China's Foreign Direct Investment (Shanghehan No. 1129 [2012])

5. Decision of the State Council on Amending the Measures for Declaration of Balance-of-Payments Statistics (Decree No. 642 of the State Council of the People's Republic of China)

6. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Regulations for the Administration of Vouchers of Domestic Banks for Foreign-related Receipts and Payments (Huifa No. 19 [2014])

2- Indirect Declarations of the Balance-of-Payments Statistics

1. Operating Rules for the Declaration of the Balance-of-payments Statistics through Financial Institutions (Huifa No. 22 [2010])

2. Operational Rules on the Online Balance of Payments Declaration System (Huizongfa No. 122 [2010])

3. Reply of the Balance of Payments Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Declarations of the Balance-of-Payments Statistics (Huiguofu No. 6 [2010])

4. Reply of the Balance of Payments Department of the State Administration of Foreign Exchange on Issues Concerning Indirect Declarations of the Balance-of-Payments Statistics in Overseas Contracted Projects (Huiguofu No. 10 [2010])

5 System for the Verification of Indirect Declarations of Balance-of-Payments Statistics (Huifa No. 1 [2011])

6. Circular of the State Administration of Foreign Exchange on Further Strengthening the Verification of Balance of Payments (Huifa No. 47 [2011])

7. Circular of the State Administration of Foreign Exchange on Specifying and Adjusting Matters Regarding the Declaration of Balance-of-Payments Statistics (Huifa No. 34 [2011])

8. Circular of the State Administration of Foreign Exchange on Doing Well the Work Related to Adjustments in the Domestic Banks' Foreign-related Receipt and Payment Vouchers and Preparation of the Relevant Information for Submission (Huifa No. 49 [2011])

9. Circular of the State Administration of Foreign Exchange on Starting Use of Relevant Vouchers of Domestic Banks for Foreign-related Receipts and Payments and Clarifying the Submission Requirement of Relevant Data (Huifa No. 42 [2012])

10. Circular of the State Administration of Foreign Exchange on Printing and Issuing the Classification and Codes of Foreign-related Receipt and Payment Transactions (2014 version) (Huifa No. 21 [2014])

3- Direct Declarations of the Balance-of-Payments Statistics

1. Circular of the State Administration of Foreign Exchange on the Submission of the Statistical Statement on Foreign Exchange Assets and Liabilities by Chinese-Funded Financial Institutions (Huifa No. 6 [2009])

2. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Conducting Survey on Banks' Trade Financing Business (Huizongfa No. 114 [2011])

3. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Adjusting the Reporting Mode for the Foreign Exchange Balance Sheet and Other Statements of Chinese-funded Financial Institutions (Huizongfa No. 136 [2012])

4. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Strengthening Declaration of Overseas Assets and Liabilities as well as the Profits and Losses by Financial Institutions and Upgrade of Reporting System (Huizongfa No. 145 [2012])

5. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Statistics System of External Financial Assets and Liabilities and Foreign Transactions (Huifa No. 43 [2013])

4- The System of Sampling Surveys

1. Circular of the State Administration of Foreign Exchange on Printing and Distributing the Trade Credit Survey System and the Implementation Plan for Trade Credit Survey (Huifa No. 67 [2004])

2. Circular of General Affairs Department of the State Administration of Foreign Exchange on Adjustments to the Sampling Survey Report for Trade Credits and to the Use of the Trade Credit Sampling Survey System for Data Submission (Huizongfa No. 12 [2009])

3. Circular of the Balance of Payments Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Conducting Trade Credit Surveys At End-June,2010 (Huiguofa No. 8 [2010])

4. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Expanding the Scope of Regions for the Trade Credit Survey and Increasing the Frequency of the Survey (Huizongfa No. 28 [2011])

5. Circular of the Balance of Payments Department of the State Administration of Foreign Exchange on Start Using the New Version Trade Credit Sampling Survey System (Huiguofa No. 17 [2012])

VII. Foreign Exchange Inspections and Application of the Laws and Regulations (11 items)

1- Procedures for Investigating Cases

1. Regulations of the State Administration of Foreign Exchange on Administration of Authority for Administrative Penalties during Foreign Exchange Inspections (Huifa No. 219 [2001])

2. Procedures of the State Administration of Foreign Exchange for Administrative Penalty Hearings (Huifa No. 79 [2002])

3. Procedures of the State Administration of Foreign Exchange for Administrative Reconsideration (Huifa No. 80 [2002])

2- Legal Basis

1. Circular of the State Administration of Foreign Exchange on Forwarding the Interim Provisions on Implementing Administrative or Disciplinary Sanctions for Fraudulent

Purchases, Illegal Purchases, Evasion, and Illegal Trading of Foreign Exchange and Other Acts in Violation of the Rules on Foreign Exchange Administration (Huifa No. 102 [1999])

2. Circular of the State Administration of Foreign Exchange on Issues Regarding the Content and Meaning of Certain Provisions and the Application Principles in Chapter VII: Legal Responsibilities in the Regulations of the People's Republic of China on Foreign Exchange Administration (Huifa No. 59 [2008])

3. Reply of the Legislative Affairs Office of the State Council on the Request of the State Administration of Foreign Exchange for Interpreting Relevant Legal Liability Clauses in the Regulation on Foreign Exchange Administration (Guofahan No. 219 [2012])

3- Others

1. Circular of China Securities Regulatory Commission, the State Administration of Foreign Exchange, the State Administration for Industry and Commerce, and the Ministry of Public Security on Sternly Investigating and Punishing Illegal Foreign Exchange Futures Transactions and Foreign Exchange Margin Trading Activities (Zhengjianfazi No. 165 [1994])

2. Circular of the China Securities Regulatory Commission, the State Administration for Industry and Commerce, the State Administration of Foreign Exchange, and the Ministry of Public Security on Printing and Distributing the Minutes of the Conference on Implementing the Circular of the China Securities Regulatory Commission, the State Administration of Foreign Exchange, the State Administration for Industry and Commerce, and the Ministry of Public Security on Sternly Investigating and Punishing Illegal Foreign Exchange Futures Transactions and Foreign Exchange Margin Trading Activities (Zhengjianfazi No. 196 [1994])

3. Circular of the State Administration of Foreign Exchange and the State Administration of Industry of Commerce on Prohibiting the Pricing and Settlement in Foreign Currency without Authorization within the Territory of China and Prohibiting the Release of Advertisements Containing Information on the Pricing and Settlement in Foreign Currency (Huiguanhanzi No. 177 [1996])

4. Circular of the State Administration of Foreign Exchange and the Ministry of Public Security on Imposing Severe Punishments on Illegal Foreign Exchange Trading Activities (Huifa No. 155 [2001])

5. Reply of the General Affairs Department of the State Administration of Foreign Exchange on Identifying Relevant Issues Concerning Illegal On-line Foreign Exchange Speculation (Huizongfu No. 56 [2008])

VIII. Scientific Administration of Foreign Exchange (7 items)

1. Measures of the State Administration of Foreign Exchange for the Management of Standardization of the Information System Codes (Interim) (Huizongfa No. 162 [2008])
2. Circular of the General Affairs Department of the State Administration of Foreign Exchange on Relevant Issues Concerning Standardization of the Information System Codes (Huizongfa No. 101 [2009])
3. Detailed Rules of the State Administration of Foreign Exchange on Implementation of Management of Standardization of the Information System Codes (Huizongfa No. 131 [2011])
4. Measures of the State Administration of Foreign Exchange for the Management of the Information System Data (Trial) (Huifa No. 64 [2012])
5. Circular of the State Administration of Foreign Exchange and General Administration of Quality Supervision, Inspection and Quarantine on Amending and Issuing the Operational Rules for the Code Assignments of the Special Organization Codes (Huifa No. 16 [2014])
6. Circular of the State Administration of Foreign Exchange on Issuing the Data Collection Standards Version 1.0 for Foreign Exchange Business of Financial Institutions (Huifa No. 18 [2014])
7. Circular of the General Affairs Department of the State Administration of Foreign Exchange on the Data Reporting of Overseas Financial Assets/liabilities and Transactions, Individual Foreign Cash Deposit/withdrawal, and External Debts Owned by Banks (Huizongfa No. 95 [2014])